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IDS Form PTO/SB/08: Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1	

Complete if Known				
Application Number	Not Net Available / K / 5 /			
Filing Date	May 4, 2006			
First Named Inventor	Daniele Franco Angelo FACCIO			
Art Unit	Not Yet Available			
Examiner Name	Not Yet Available			
Attorney Docket Number	05788.0398			

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Cite			Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.'	Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY		Relevant Passages or Relevant Figures Appear
HS		US-2003/0133490	07-17-2003	Grote et al.	
НS		US-6,097,865	08-01-2000	Alferness et al.	
HS		US-4,737,007	04-12-1988	Alferness et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
HS		EP 0 778 479	06-11-1997	Horita et al.		
HS		EP 1 018 665	07-12-2000	Moroni et al.		
HS		JP 63-174002	07-18-1988			No

NON PATENT LITERATURE DOCUMENTS			
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>	
	BJORKLUND et al., "PROSPECTS FOR ELECTRO-OPTIC POLYMER DEVICES", Lasers and Electro-Optics Society Annual Meeting, IEEE, pages 466-467, (1993).		
	ELDADA et al., "THERMOOPTIC PLANAR POLYMER BRAGG GRATING OADM'S WITH BROAD TUNING RANGE", IEEE Photonics Technology Letters, Vol. 11, No. 4, p. 448-450, (1999).		
	HORITA et al., "POLARISATION INSENSITIVE AND TUNEABLE OPTICAL ADD AND DROP MULTIPLEXER UTISING VERTICALLY STACKED BURIED SEMICONDUCTOR WAVEGUIDES", Electronics Letters, Vol. 35, No. 20, pp. 1733-1734, (1999).		
	BOSC et al., "HYBRID SILICA-POLYMER STRUCTURE FOR INTEGRATED OPTICAL WAVEGUIDES WITH NEW POTENTIALITIES", Materials Science and Engineering, Vol. B57, pp. 155-160, (1999).		
		Cite No.¹  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  BJORKLUND et al., "PROSPECTS FOR ELECTRO-OPTIC POLYMER DEVICES", Lasers and Electro-Optics Society Annual Meeting, IEEE, pages 466-467, (1993).  ELDADA et al., "THERMOOPTIC PLANAR POLYMER BRAGG GRATING OADM'S WITH BROAD TUNING RANGE", IEEE Photonics Technology Letters, Vol. 11, No. 4, p. 448-450, (1999).  HORITA et al., "POLARISATION INSENSITIVE AND TUNEABLE OPTICAL ADD AND DROP MULTIPLEXER UTISING VERTICALLY STACKED BURIED SEMICONDUCTOR WAVEGUIDES", Electronics Letters, Vol. 35, No. 20, pp. 1733-1734, (1999).  BOSC et al., "HYBRID SILICA-POLYMER STRUCTURE FOR INTEGRATED OPTICAL WAVEGUIDES WITH NEW POTENTIALITIES", Materials Science and Engineering, Vol.	

Examiner Signature	/Hemang Sanghavi/	Date Considered	01/08/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.